Almost-Sure Scattering for NLS at Mass Regularity in dimensions $d \geqslant 2$

July 29, 2025

The NLS equation

$$u(t,x): \mathbb{R} imes \mathbb{R}^d \longrightarrow \mathbb{C}$$
 satisfying

$$\begin{cases} i\partial_t u + \Delta u &= |u|^{p-1} u \\ u(t=0) &= u_0 \in H^s(\mathbb{R}^d). \end{cases}$$
 (NLS)

for $d \geqslant 2$ and $p \in [1, 1 + \frac{4}{d}]$.

Two formal conserved quantities:

$$M(t) = \|u(t)\|_{L^2}^2 \text{ and } E(t) = \frac{1}{2} \|\nabla u(t)\|_{L^2}^2 + \frac{1}{p+1} \|u(t)\|_{L^{p+1}}^{p+1}.$$

Regularities: L^2 and H^1 .

Scattering for NLS

Duhamel formula for (NLS) reads:

$$u(t) = e^{it\Delta}u_0 - i \int_0^t e^{i(t-t')\Delta}(|u(t')|^{p-1}u(t')) dt'.$$

We say that u(t) scatters forward in time in H^s if

$$e^{-it\Delta}u(t) - u_0 = -i\int_0^t e^{-it'\Delta}(|u(t')|^{p-1}u(t')) dt'$$

$$\underset{t\to\infty}{\longrightarrow} u_+,$$

in H^s , for some $u_+ \in H^s$.

Scattering at H^1 regularity

$$i\partial_t u + \Delta u = |u|^{p-1}u \tag{NLS}$$

[Colliander, Keel, Staffilani, Takaoa, Tao] proved that for (d, p) = (3, 5), global well-posedness and scattering holds in $H^1(\mathbb{R}^3)$.

Probabilistic improvement on this result are of the following flavour: [Killip, Murphy, Visan + Dodson, Lührmann, Mendelson] etc. prove that for some s < 1 almost every initial data in H^s give rise to global solutions $u(t) = e^{it\Delta}u_0 + v(t)$ where $v \in \mathcal{C}^0(\mathbb{R}, H^1)$ scatters in H^1 using deterministic methods on v and probabilistic estimates on $e^{it\Delta}u_0$.

In this work we investigate what happens at regularity $\simeq L^2$ using completely different methods.

Deterministic results

Theorem (Deterministic theory)

Let $p \geqslant 1$ and $d \geqslant 2$. Then:

- For $p \in \left[1, 1 + \frac{4}{d}\right]$ the Cauchy problem for (NLS) is globally well-posed in $L^2(\mathbb{R}^d)$ and ill-posed in L^2 if $p > 1 + \frac{4}{d}$.
- ② For $p \le 1 + \frac{2}{d}$ and for every $u_0 \in L^2$, the solutions do not scatter in L^2 , neither forward nor backward in time.
- **3** For $d \ge 2$, $p \in \left(1 + \frac{2}{d}, 1 + \frac{4}{d-2}\right)$ and initial data in H^1 scattering in L^2 holds.
- For $d \ge 2$, $p = 1 + \frac{4}{d}$ and radial initial data in L^2 , scattering holds in L^2 .

Works of Christ, Colliander and Tao; Dodson; Barab; Tsutsumi, Yajima.

The main result

Set $X_{\rm rad}^0 = \bigcap_{s>0} H_{\rm rad}^{-s}$, that almost radial L^2 functions.

Theorem (Chapter 4, Theorem 4.3)

Let $d \in \{2, \ldots, 24\}$ and $p \in]1 + \frac{2}{d}, 1 + \frac{4}{d}]$. There exists a measure μ on X^0_{rad} and $\sigma > 0$ such that for μ -almost every $u_0 \in X^0_{\mathsf{rad}}$ there exists a unique solution to (NLS) in the space

$$u_L + \mathcal{C}^0(\mathbb{R}, H^{\sigma}),$$

where $u_L(t)=e^{it\Delta}u_0$. Furthermore, there is a $\sigma'>0$ such that the solution u scatters in $H^{\sigma'}$ as $t\to\pm\infty$, that is there exists $u_\pm\in H^{\sigma'}$ such that

$$\|e^{-it\Delta}u(t)-u_0-u_{\pm}\|_{H^{\sigma'}}\underset{t\to +\infty}{\longrightarrow} 0.$$

- **9** Slow growth of L^{p+1} and Sobolev norms \Longrightarrow scattering
- A general globalisation argument designed for this
- Fitting (NLS) into this framework using the lens transform
- Constructing the quasi-invariant measures needed
- Constructing a probabilistic local and global theory
- **10** Obtaining the L^{p+1} bounds.

The scattering $e^{-it\Delta}u(t)-u_0\to u_+$ in $H^{\sigma'}$ results from:

- A global bound $\sup_{t>0}\|e^{-it\Delta}u(t)-(u_0+u_+)\|_{H^{\sigma'+\varepsilon}}\leqslant C$
- Precised scattering in $H^{-\sigma_0}$:

$$\|e^{-it\Delta}u(t)-(u_0+u_+)\|_{H^{-\sigma_0}}\lesssim t^{-C}$$
.

We only explain the second part. Duhamel formula:

$$e^{-it\Delta}u(t)-u_0=-i\int_0^t e^{-it'\Delta}(|u|^{p-1}u)\,\mathrm{d}t'$$

Expect
$$u_+ = -i \int_0^\infty e^{-it'\Delta} (|u|^{p-1}u) dt'$$

Crude bound:

$$||e^{-it\Delta}u(t) - (u_0 + u_+)||_{H^{-\sigma_0}} \lesssim \left\| \int_t^{\infty} e^{-it'\Delta} (|u|^{p-1}u) \, dt' \right\|_{H^{-\sigma_0}}$$
$$\lesssim \int_t^{\infty} ||u|^{p-1}u||_{H^{-\sigma_0}} \, dt'$$
$$\lesssim \int_t^{\infty} ||v(t')||_{L^{p+1}}^{p} \, dt',$$

we used Sobolev embedding $L^{\frac{\rho+1}{p}}\hookrightarrow \mathcal{H}^{-\sigma_0}.$

This is motivation for obtaining slowly growing estimates for $u \in L^{p+1}$ or $u \in H^{\sigma}$.

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Invariant measure and global solutions

Flow ϕ_t of a PDE: $u(t) = \phi_t u_0$. μ is invariant on H^s if for any measurable sets $A \subset H^s$, $\mu(\phi_{-t}A) = \mu(A)$ that is $\mathbb{P}(u(t) \in A) = \mathbb{P}(u_0 \in A)$. Assumptions.

- **1** μ is invariant for under ϕ_t on H^s ;
- **③** Good LWP theory: if $\|u_0\|_{H^s} \le \lambda$ then a solution exists on [0, τ], $\tau \sim \frac{1}{\lambda^K}$ and $\|u(t)\|_{H^s} \le 2\lambda$ for $t \le \tau$.

Proposition

Then for μ -almost every $u_0 \in H^s$ there exists a global solution u such that:

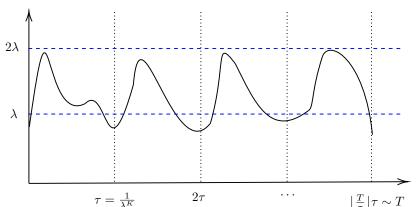
$$||u(t)||_{H^s} \lesssim \log^{\frac{1}{2}}(t)$$
.

The picture

Goal: Set of measure $\geqslant 1-\varepsilon$ made of solutions with logarithmic growth until time \mathcal{T} .

Local well-posedness time $au = \frac{1}{\lambda^K}$.

$$G_{\lambda,T}:=\{u_0, \text{ for all } n\leqslant \lfloor \frac{T}{\tau}\rfloor, \|u(n\tau)\|_{H^s}\leqslant \lambda\}.$$



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The proof

Set $B_{\lambda,T}:=H^s\setminus G_{\lambda,T}\subset \bigcup_{n=0}^{\lfloor \frac{\tau}{\tau}\rfloor}\{u_0,\|u(n\tau)\|_{H^s}>\lambda\}$ the set of potentially bad initial data.

$$\mu(B_{\lambda,T}) \leqslant \sum_{n=0}^{\lfloor \frac{\tau}{\tau} \rfloor} \mathbb{P}(\|u(n\tau)\|_{H^s} > \lambda)$$
$$\leqslant \frac{T}{\tau} \mathbb{P}(\|u_0\|_{H^s} > \lambda)$$
$$\leqslant T\lambda^K e^{-c\lambda^2},$$

thus $\mu(B_{\lambda,T}) \leqslant \varepsilon$ for $\lambda \sim \log^{1/2} \left(\frac{T}{\varepsilon}\right)$.

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Looking for invariant measures

For which systems is it easy to construct invariant measures?

In finite dimension. A good class of equations: Hamiltonian equations. Let:

$$\partial_t p = -\partial_q H(p,q) \quad \partial_t q = \partial_p H(p,q),$$

Then $d\mu = \underbrace{Z^{-1}}_{Renorm.Cst.} e^{-H(p,q)} \mathrm{d}p\mathrm{d}q$ is invariant, because:

- $oldsymbol{0}$ H is conserved by the flow.
- ② dpdq is conserved (Liouville).

Problems:

- (NLS) is infinite dimensional.
- $-\Delta$ has uncountable spectrum when acting on \mathbb{R}^d .

Compactification of (NLS)

We solve the second problem by applying a transformation to (NLS), the *lens* transform, mapping u solution to (NLS) to a v solution to (HNLS):

$$i\partial_t v - Hv = \cos(2t)^{-\alpha(p,d)} |v|^{p-1} v \text{ on } (-\frac{\pi}{4}, \frac{\pi}{4}) \times \mathbb{R}^d,$$
 (HNLS)

where $\alpha(p,d)=2-\frac{d}{2}(p-1)\in[0,1).$ and (Appendix B for explanation)

$$v(t,x) := \mathcal{L}u(t,x) = \frac{1}{\cos(2t)^{\frac{d}{2}}}u\left(\frac{\tan(2t)}{2},\frac{x}{\cos(2t)}\right)\exp\left(-\frac{i|x|^2\tan(2t)}{2}\right),$$

 $H = -\Delta + |x|^2$ the harmonic oscillator with **discrete spectrum**:

$$He_n = (4n + d)e_n =: \lambda_n^2 e_n, n \geqslant 0.$$

Denote $||u||_{\mathcal{W}^{s,p}} = ||H^{\frac{s}{2}}u||_{L^p}$, and $\mathcal{H}^s = \mathcal{W}^{s,2}$.

Scattering for (HNLS)

Scattering for (NLS) \iff scattering for (HNLS), this time it is simpler since

$$e^{itH}v(t) - u_0 = \int_0^t e^{-i(t-t')H} \left(\cos(2t)^{-\alpha(p,d)} |v|^{p-1}v\right) dt',$$

so as $t \to \infty$ expect

$$v_{+} = \int_{0}^{\frac{\pi}{4}} e^{-i(t-t')H} \left(\cos(2t)^{-\alpha(p,d)} |v|^{p-1} v\right) dt'.$$

$$\|e^{itH}v(t)-(u_0+v_+)\|_{\mathcal{H}^{-\sigma_0}}\lesssim \int_t^{\frac{\pi}{4}}\cos(2t')^{-\alpha(p,d)}\|v(t')\|_{L^{p+1}}^p\,\mathrm{d}t'\,,$$

Since $\cos(2t') \sim (\pi/4 - t')$ as $t \to \pi/4$, and $\alpha(p, d) \in (0, 1)$ only needs:

$$||v(t)||_{L^{p+1}} \lesssim \log^{\alpha}(\frac{\pi}{4}-t).$$

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Hamiltonian setting (Section 4)

Writing $v = \sum_{n \ge 0} v_n e_n$ and $v_n = q_n + i p_n$ one has an infinite dimensional hamiltonian system for

$$H = H_t(\{p_n, q_n\}_{n \geqslant 0}) = \frac{1}{2} \|v\|_{\mathcal{H}^1}^2 + \frac{\cos(2t)^{-\alpha(p,d)}}{p+1} \|v\|_{L^{p+1}}^{p+1},$$

Dependence of the Hamiltonian on $t\Longrightarrow$ do not expect invariance of the formal measure

$$\nu_t(A) = \int_A \exp(-H_t(v)) dv$$

$$= \int_A \exp\left(\frac{\cos(2t)^{-\alpha(p,d)}}{p+1} \|v\|_{L^{p+1}}^{p+1}\right) d\mu(v),$$

with $\mathrm{d}\mu := e^{-\|v\|^2_{\mathcal{H}^1}} \mathrm{d}v = \bigotimes_{n \geq 0} e^{-\lambda_n^2 v_n^2} \mathrm{d}v_n$ (Gaussian densities)

Construction of the Gaussian measure

Definition

 μ is the image measure by the *randomisation map*:

$$u_0^{\omega}(x) := \sum_{n\geqslant 0} \frac{g_n^{\omega}}{\lambda_n} e_n(x),$$

where $(g_n)_{n\geqslant 0}$ are i.i.d. Gaussian random variables.

Lemma

- **1** μ is supported by $\mathcal{X}_{rad}^0 = \bigcap_{s>0} \mathcal{H}_{rad}^{-s}$.
- ② For μ -almost every u there holds that $u \in \mathcal{X}_{rad}^0 \setminus \bigcup_{\varepsilon \geqslant 0} \mathcal{H}_{rad}^{\varepsilon}$.
- **3** For any $v \in \mathcal{X}_{\mathsf{rad}}^0$ and any $\varepsilon > 0$ there holds $\mu(B(v, \varepsilon)) > 0$.

 μ is a Gaussian measure, with \emph{good} non-smoothing properties.

The quasi-invariant measure analysis

Define
$$\mathrm{d}\nu_t(v) := C_t e^{-\cos(2t)^{-\alpha(p,d)} \frac{\|v\|^{p+1}}{p+1}} \, \mathrm{d}\mu(v)$$
.

Let ϕ_t be the flow of:

$$i\partial_t v - Hv = \cos(2t)^{-\alpha(p,d)} |v|^{p-1} v$$
.

Proposition (Quasi-invariant bound (Proposition 4.4, Section 4))

- **1** For all $t \ge 0$, $\mu \ll \nu_t \ll \mu$.
- 2 For all t and Borelian set A,

$$\nu_0(A) \leqslant \nu_t(\phi_t A)^{\cos(2t)^{\alpha(\rho,d)}}$$

Remark. This bound is *bad* for $t \to \pi/4$, it is a bound by 1.

Growth of Sobolev norms with quasi-invariant measures

Assumptions. Generalise the assumption of the globalisation theorem.

- $\nu_0(\phi_{-t}A) \leqslant \nu_t(A)^{\cos(2t)^{\alpha(\rho,d)}}$ is the "quasi-invariance".
- ② For any $\sigma > 0$, $\nu_t(\|u\|_{\mathcal{H}^{-\sigma}} > \lambda) \leqslant \mu(\|u\|_{\mathcal{H}^{-\sigma}} > \lambda) \leqslant e^{-c\lambda^{-2}}$.
- ullet Good LWP theory in $\mathcal{X}_{\mathsf{rad}}^0$?

Proposition (Lemma 4.23 (Section 5))

For μ -almost every $u_0 \in \mathcal{X}^0_{\mathsf{rad}}$ there exists a global solution $v = v_L + w \in v_L + \mathcal{C}^0(\mathbb{R}, \mathcal{H}^\sigma)$ to (HNLS), obeying

$$\|w(t)\|_{H^s}, \|w\|_{L^q_{[0,t]}\mathcal{W}^{s,r}} \lesssim \left(\frac{\pi}{4}-t\right)^{\frac{-lpha(p,d)}{2}} \log^{\frac{1}{2}}\left(\frac{\pi}{4}-t\right).$$

when $\frac{2}{a} + \frac{d}{r} = \frac{d}{2}$ and $s \leqslant \sigma$.

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Local theory in $\mathcal{X}_{\mathsf{rad}}^0$

Affine ansatz:
$$v(t)=e^{-itH}u_0^\omega+v(t)=v_L(t)+w(t)$$
, where
$$i\partial_t w-Hw(t)=\cos(2t)^{-\alpha(p,d)}|v_L(t)+w(t)|^{p-1}(v_L(t)+w(t))\,.$$

Proposition (Proposition 4.3 (Section 3))

There exist $\sigma > 0$ and $T \in [0, \pi/4)$ such that local well-posedness for w holds in some space $Y^s \hookrightarrow \mathcal{C}^0([0, T], \mathcal{H}^{\sigma})$.

The proof is a fixed-point argument on

$$\Phi(w)(t) = -i \int_0^t e^{-i(t-t')H} \cos(2t)^{-\alpha(p,d)} \left(|v_L + w|^{p-1} (v_L + w) \right) dt'$$

in an adapted space
$$Y^{\sigma} = \bigcap_{(q,r): rac{2}{a} + rac{d}{r} = rac{d}{2}} L^q_T \mathcal{W}^{s,\sigma}$$

Difficulty of the local theory

Crude Bound in $L_T^{\infty} \mathcal{H}^{\sigma}$, and use $\cos(2t)^{-\alpha(p,d)} \lesssim (\pi/4 - T)^{-\alpha(p,d)}$.

$$\begin{split} \|\Phi(v)\|_{L^{\infty}_{T}\mathcal{H}^{\sigma}} \lesssim_{T} \int_{0}^{T} \||v_{L} + w|^{p-1} (u_{L} + v)\|_{\mathcal{H}^{s}} \, \mathrm{d}t \\ \lesssim_{T} \||v_{L}|^{p-1} v_{L}\|_{L^{1}_{T}\mathcal{H}^{s}} + \||w|^{p-1} w\|_{L^{1}_{T}\mathcal{H}^{\sigma}} + \underbrace{\{\text{ other terms.}\}}_{\text{Handled similarly}} \end{split}$$

- The term $||w|^{p-1}w||_{L^1_T\mathcal{H}^{\sigma}}$ may be controlled (for some p) by deterministic methods, since $w \in \mathcal{H}^{\sigma}$.
- $v_L \notin \mathcal{H}^{\sigma}$ so we have to control $|v_L|^{p-1}v_L$.
- \longrightarrow We use randomness in $v_L = \sum_{n \geqslant 0} e^{-it\lambda_n^2} rac{\mathcal{g}_n^\omega}{\lambda_n} e_n(x)$

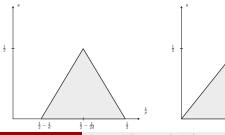
Random linear gain

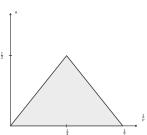
Lemma (Lemma 4.12 (Section 2))

Outside a set of probability at most $Ce^{-c\lambda^2}$ there holds

$$\|\mathbf{v}_{L}\|_{L^{q}_{\mathcal{T}}\mathcal{W}^{s_{p}^{-},p}}\leqslant T^{\frac{1}{q}}\lambda,$$

where
$$s_p = \begin{cases} d\left(\frac{1}{2} - \frac{1}{p}\right) & \text{for } 2 \leqslant p < \frac{2d}{d-1} \\ 1 - d\left(\frac{1}{2} - \frac{1}{p}\right) & \text{for } \frac{2d}{d-1} \leqslant p \leqslant \frac{2d}{d-2} \end{cases}$$
.





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Random linear gain: preparation of the proof

With the lemma, write $H^{\frac{\sigma}{2}}(|v_L|^{p-1}v_L)\simeq |v_L|^{p-1}H^{\frac{\sigma}{2}}v_L$ so that Hölder yields

$$\||v_L|^{p-1}v_L\|_{L^1_T\mathcal{H}^\sigma}\lesssim \|v_L\|_{L^{2(p-1)}T^{L^4(p-1)}}^{p-1}\|v_L\|_{L^2_T\mathcal{W}^{\sigma,4}}\,,$$

when $(d, \sigma) = (2, 2)$ this works, e.g.

For the proof we use:

Lemma (Kolmogorov, Paley, Zygmund)

For complex numbers $(a_n)_{n\geqslant 0}$,

$$\left\| \sum_{n \geqslant 2} a_n g_n^{\omega} \right\|_{L_0^r} \lesssim \sqrt{r} \left(\sum_{n \geqslant 0} |a_n|^2 \right)^{\frac{1}{2}}.$$

Random linear gain: proof (random decoupling)

For $s < s_p$, write $H^{\frac{s}{2}}v_L(t,x) = \sum_{n\geqslant 0} \lambda_n^s e^{-it\lambda_n^2} \frac{g_n^{\omega}}{\lambda_n} e_n(x)$. The lemma with $a_n = \lambda_n^{s-1} e^{-it\lambda_n^2}$ implies

$$\left\| \sum_{n \geqslant 0} \lambda_n^s e^{-it\lambda_n^2} \frac{g_n^{\omega}}{\lambda_n} e_n(x) \right\|_{L_0^a} \lesssim \sqrt{a} \left(\sum_{n \geqslant 0} \lambda_n^{2(s-1)} |e_n(x)|^2 \right)^{\frac{1}{2}}$$

Combinend with Minkowski for $a \ge q, r$ we get

$$\left\| \sum_{n \geqslant 0} \lambda_n^s e^{-it\lambda_n^2} \frac{g_n^{\omega}}{\lambda_n} e_n(x) \right\|_{L_{\Omega}^a L_T^q L_x^r} \lesssim \sqrt{a} \left\| \left(\sum_{n \geqslant 0} \lambda_n^{2(s-1)} |e_n(x)|^2 \right)^{\frac{1}{2}} \right\|_{L_T^q L_x^r}$$

$$\lesssim T^{\frac{1}{q}} \left(\sum_{n \geqslant 0} \lambda_n^{2(s-1)} ||e_n||_{L_T}^2 \right)^{\frac{1}{2}}$$

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Random linear gain: proof (deterministic estimate)

Finally we have obtained

$$\|u_L\|_{L^{a}_{\Omega}L^{q}_{T}\mathcal{W}^{s_r^-,r}} \lesssim T^{\frac{1}{q}} \left(\sum_{n\geqslant 0} \lambda_n^{2(s-1)} \|e_n\|_{L^r}^2 \right)^{\frac{1}{2}}.$$

We conclude with deterministic estimates:

Lemma (Estimates of Hermite functions)

$$\|e_n\|_{L^r} \lesssim \left\{ egin{array}{ll} \lambda_n^{-d(1/2-1/r)} & \mbox{for } 2 \leqslant r < rac{2d}{d-1} \ \lambda_n^{d(1/2-1/r)-1} & \mbox{for } rac{2d}{d-1} < r \leqslant rac{2d}{d-2} \,. \end{array}
ight.$$

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A pointwise L^{p+1} bound

Lemma ((4.28) in Corollary 4.21)

Set $A_{t,\lambda} := \{u_0 \in X^0, \ \|\phi_t u_0\|_{L^{p+1}} > \lambda\}$ then

$$u_0(A_{t,\lambda}) \leqslant e^{-\frac{\lambda^{p+1}}{p+1}}.$$

Proof. Quasi-invariance brings $\nu_0(A_{t,\lambda}) \leqslant \nu_t(\phi_t A_{t,\lambda})^{\cos(2t)^{\alpha(\rho,d)}}$. For $u \in \phi_t A_{t,\lambda}$ one has $\|u\|_{L^{p+1}} > \lambda$.

$$\nu_0(A_{t,\lambda}) \leqslant \left(e^{-\frac{\lambda^{p+1}}{p+1}\cos(2t)^{-\alpha(p,d)}}\mu(\phi_t A_{t,\lambda})\right)^{\cos(2t)^{\alpha(p,d)}} \leqslant e^{-\frac{\lambda^{p+1}}{p+1}}.$$

We used that $\mathrm{d}\nu_t(u) = e^{-\frac{\|u\|_{L^{p+1}}^{p+1}}{p+1}\cos(2t)^{-\alpha(p,d)}}\mathrm{d}\mu(u).$

Framework for L^{p+1} bounds

Proposition (Lemma 4.25, Section 5)

For almost-every $u_0 \in \mathcal{X}^0_{\mathsf{rad}}$, there holds

$$\|v(t)\|_{L^{p+1}} \lesssim \log^{rac{1}{p+1}}\left(rac{\pi}{4}-t
ight) \ ext{ for all } t \in \left[0,rac{\pi}{4}
ight) \ .$$

Application of the globalisation argument on intervals [0, t]!

- Chop [0, t] in intervals $[t_n, t_{n+1}]$, n = 0, ..., N with $t_n = n\tau$.
- For each time t_n we have $\|v(t_n)\|_{L^{p+1}} \leqslant \lambda$ for $u_0 \in U_{t_n}$ such that $\mu(\mathcal{X}_{\mathrm{rad}}^0 \setminus U_{t_n}) \leqslant e^{-\frac{\lambda^{p+1}}{p+1}}$.
- \triangle Not possible to take $\bigcup_{t\in[0,\frac{\pi}{4}]} U_t$, not a countable union \triangle
- Check that with high probability for $t \in [t_n, t_{n+1}]$ $||v(t) t(t_n)||_{L^{p+1}} \lesssim |t t_n|^{\gamma}$.

Scattering for NLS

Variation of L^{p+1} norms

Lemma

With "high probability" there holds $||v(t_1)-v(t_2)||_{L^{p+1}} \lesssim |t_1-t_2|^{\beta}$.

Case (1): low values of p implies control by Sobolev norms and $L_t^{\infty}L_x^{p+1}$.

Case (2): higher values need an extra argument. We write

$$v(t_{2}) - v(t_{1}) = \underbrace{(e^{-i(t-t_{1})H} - 1)v(t_{1})}_{=I} - i\underbrace{\int_{t_{1}}^{t} e^{-i(t-t')H}(\cos(2t')^{\alpha(p,d)}|v(t')|^{p-1}v(t'))dt'}_{=II}$$

Treatment of II

Recall the dispersion estimate for $q \geqslant 2$,

$$\|e^{itH}v\|_{L^q} \lesssim t^{-d\left(\frac{1}{2}-\frac{1}{q}\right)}\|v\|_{L^{q'}}.$$

Applied with q = p + 1 we get By dispersion we have:

$$\begin{split} \|II\|_{L^{p+1}} &\lesssim \int_{t_1}^t \frac{\cos(2t')^{-\alpha(p,d)}}{|t-s|^{d\left(\frac{1}{2}-\frac{1}{p}\right)}} \|v(t')\|_{L^{p+1}}^p dt' \\ &\lesssim \left(\frac{\pi}{4}-t\right)^{-\alpha(p,d)} |t_2-t_1|^{\beta} \|v\|_{L^r_{[t_1,t_2]}L^{p+1}_x}^p, \end{split}$$

Which is controlable if $\|v\|_{L^p_{[t_1,t_2]}L^{p+1}_x}^p$ is. Requires: $s>d\left(\frac{1}{2}-\frac{1}{p+1}\right)-\frac{2}{r}$.

Control of $\|v\|_{L^{\infty}L_x^{p+1}}$ by Y^s if $s>d\left(\frac{1}{2}-\frac{1}{p+1}\right)$

Treatment of I

Sobolev embedding in time:

$$\begin{split} \|(e^{-i(t-t_1)H}-1)v(t_1)\|_{L^{\infty}L^{p+1}} &\lesssim |t-t_1|^{\beta} \|e^{-i(t-t_1)}v(t_1)\|_{\mathcal{C}^{0,\beta}_tL^{p+1}} \\ &\lesssim |t_2-t_1|^{\beta} \|\|e^{-i(t-t_1)}v(t_1)\|_{W^{\varepsilon,q}_tL^{p+1}} \,. \end{split}$$

Then use that $|\partial_t|^{\varepsilon}e^{itH}v = H^{\varepsilon}e^{itH}v$ hence:

$$||I||_{L^{\infty}L^{p+1}} \lesssim ||e^{-i(t-t_1)H}v(t_1)||_{L^q_t\mathcal{W}^{2\varepsilon,p+1}},$$

and random estimates to finish.

Where does the Lens transform comes from?

Scaling invariance: $u(s,y) \mapsto \lambda^{-\frac{d}{2}} u\left(\frac{s}{\lambda^2}, \frac{y}{\lambda}\right)$.

When $\lambda = \lambda(s)$, we define new variables (t,x) such that $dx = \frac{dy}{\lambda(s)}$ and $dt = \frac{ds}{\lambda^2(s)}$, i.e., $t = \int_0^t \frac{ds}{\lambda^2(s)}$.

- Good way to compactify time is $t = \frac{1}{2}\arctan(2s)$ thus $\lambda = \cos(2s)$.
- New equation is $i\partial_t v + \Delta_x v i \frac{\lambda'(t)}{\lambda(t)} x \cdot \nabla_x v + \cdots$. We gauge this last term by absoring it in an exponential.